PTO/SB/05 (03-01)

Approved for use through 10/31/2002, OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 20693 US2

First Inventor Hoffmann, et al.

Title NK-1 RECEPTOR ACTIVE AMINE...

TRANSMITTAL Express Mail Label No. EL912161818US (Only for new nonprovisional applications under 37 CFR 1.53(b)) **APPLICATION ELEMENTS** ADDRESS TO: Trademark Office P. O. Box 1450 Alexandria, VA 22313-1450 See MPEP chapter 600 concerning utility patent application contents. Fee Transmittal Form (e.g., PTO/SB/17) CD-ROM or CD-R in duplicate, large table or (Submit an original and a duplicate for fee processing) Computer Program (Appendix) Applicant claims small entity status. 8. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) ectrication [Total Pages 51 Specification 3. 1 Computer Readable Form (CRF) - Descriptive title of the invention Specification Sequence Listing on: - Cross Reference to Related Applications i. 🔲 . CD-ROM or CD-R (2 copies); or - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, i i, 🔲 or a computer program listing appendix Statements verifying identity of above copies - Background of the Invention - Brief Summary of the Invention ACCOMPANYING APPLICATION PARTS Brief Description of the Drawings (if filed) - Detailed Description Assignment Papers (cover sheet & document(s)) - Claim(s) 37 CFR 3,73(b) Statement Power of - Abstract of the Disclosure 10. Attorney (when there is an assignee) 11. English Translation Document (if applicable) Drawing(s) (35 U.S.C. 113) [Total Sheets Copies of IDS Information Disclosure 12. 5. Oath or Declaration [Total Pages Citations Statement (IDS)/PTO-1449 Preliminary Amendment 13. Newly executed (original or copy) Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 18 completed) Return Receipt Postcard (MPEP 503) 14. 🗸 (Should be specifically itemized) Certified Copy of Priority Document(s) (if foreign priority is claimed) DELETION OF INVENTOR(S) 15. Signed statement attached deleting inventor(s) Nonpublication Request under 35 U.S.C. 122 named in the prior application, see 37 CFR 16 1.63(d)(2) and 1.33(b). (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 6. Application Data Sheet. See 37 CFR 1.76 Other: 17. 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: 10,337,543 Continuation 1 Divisional Continuation-in-part (CIP) of prior application No.: Examiner: Shameem, Golam M Group Art Unit: 1626 For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS 00151 (Insert Customer No, or Attach ber code label here) Customer Number or Bar Code Label Correspondence address below Name Address State Zip Code City Fax Country Telephone Kimberly J. Prior Registration No. (Attorney/Agent) 41,483 Name (Print/Type) Signature Date 7/9/03

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Mail Stop: Patent Application, P.O. Box 1450, Alexandria VA 22313-1450.

Director of the United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

Hoffmann-La Roche Inc. 340 Kingsland Street Nutley, NJ 07110 Case Docket No. 20693 US2 July 9, 2003

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the patent application of

Inventors:

Torsten Hoffmann, Sonia Maria Poli, Patrick Schnider, Andrew Sleight

For: NK-1 RECEPTOR ACTIVE AMINE OXIDE PRODRUGS

1. The fee has been calculated as shown below:

CLAIMS				
FOR	NO. FILED	NO. EXTRA	RATE	FEE
TOTAL CLAIMS	15 - 20	0	x \$18	
INDEP. CLAIMS	2 - 3	0	x \$84	
MULTIPLE DEPENDENT CLAIMS PRESENTED + \$280				
		BASIC FEE		\$750.00
			TOTAL	\$750.00

Please charge Deposit Account No. 08-2525 in the amount noted above.

The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 08-2525, including those under 37 C.F.R. § 1.16 and 1.17. This sheet is provided in duplicate.

Continue to address all communications to:

George W. Johnston Hoffmann-La Roche Inc. 340 Kingsland Street Nutley, NJ 07110

Attorney for Applicant(s)

Kimberly J. Prior (Reg. No. 41,483)

DEPOSIT ACCOUNT
NO. 08-2525

132144

Certification under 37 CFR 1.10

I hereby certify that this form and all documents cited on this form are being deposited with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number:

EL912161818US

addressed to:

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

on_ July 9, 2003

Date

Marta Swaryczewsky

Typed or printed name of person of signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Papers Submitted for Case Docket 20693 US2: Patent Application Transmittal Form, Application Data Sheet, Fee Transmittal Form, Specification - 51 pgs., Declaration - 3 pgs.